



潮商證券有限公司
ChaoShang Securities Limited
SFC Licensed Corporation (CE No.: BGH629)

Exchange Participant of the Stock Exchange of Hong Kong
Ltd. Broker No. 0916,
SFC CE No. BGH629
香港聯合交易所參與者 經紀編號 0916,
證監會註冊號碼 : BGH629

風險概況問卷 (適用於公司)

RISK PROFILE QUESTIONNAIRE FOR COMPANIES

| ACCOUNT INFORMATION 賬戶資料 | |
|--------------------------|----------------------|
| Account No. 賬戶號碼 | Account Name 賬戶名稱 |

| 1. Source(s) of Funds for this account 賬戶資金來源 | |
|--|---|
| <input type="checkbox"/> 股東資金 Shareholder's Funds <input type="checkbox"/> 業務利潤 Business profits <input type="checkbox"/> 投資收益 Investment earnings <input type="checkbox"/> 其他, 請說明 Others, Please Specify : _____ | Place of source of Funds 資金來源地點 <input type="checkbox"/> Hong Kong 香港 <input type="checkbox"/> China 中國 <input type="checkbox"/> Others 其它 _____ *可選多於一項 can choose more than one categories |
| Recurring Source of Funds 經常性資金來源 <input type="checkbox"/> same as above 同上 <input type="checkbox"/> Others 其它 _____ | |

| 2. Anticipated Level of Transactions per month section 預計每月交易概況 | |
|---|--|
| Anticipated Number of Transaction Per month 預計每月交易次數 <input type="checkbox"/> < 20 <input type="checkbox"/> 20-50 <input type="checkbox"/> > 50 <input type="checkbox"/> Others 其他 _____ | Anticipated Amount of Transactions Per Month (HKD) 預計每月交易金額(港幣) <input type="checkbox"/> < HKD500,000 <input type="checkbox"/> HKD500,001 -HKD2,000,000 <input type="checkbox"/> HKD2,000,001 -HKD5,000,000 <input type="checkbox"/> > HKD5,000,000 <input type="checkbox"/> Others 其他 _____ |

| 3. Update Information 更新資料 (if any, 如適用) |
|---|
| |

Client's Signature 客戶簽署:

Date 日期 :

| For Internal Use Only 只供內部填寫 | | | |
|------------------------------|------------|-------------|--------|
| SIGNATURE VERIFICATION | CHECKED BY | APPROVED BY | REMARK |
| | | | |